

Senior Compliance Manager

- **Top-Tier Financial Institution**
- **Excellent Career Advancement**
- **Circa HKD900,000 per annum**

Our client is one of the largest international insurance, pension and asset management companies with businesses worldwide and is presently looking for high-caliber candidates to join their Legal & Compliance team in their ever-expanding influence in the Asia-Pacific region.

The incumbent will report to Head of Legal & Compliance.

Responsibilities:

- Providing sound advice to the business units in practice related to the distribution channels
- Conducting quality assurance review of sales practices, providing immediate risk-mitigating actions and identifying potential compliance risk
- Responsible for conducting training for staffs on the regulatory and standards on market conduct
- Handling complaints related to market conduct and implementing necessary corrective actions

Requirements:

- Bachelor of Law or other related disciplines
- Minimum 10 years of compliance experience, preferably related to distribution channels
- Solid knowledge of asset management products, compliance processes and regulatory requirements
- Highly motivated individual with great leadership qualities and analytical skills
- Proficient in both spoken and written English and Chinese

Candidates with less experience can be considered as **Compliance Manager**.

To apply, please forward your resume by quoting reference GSI1049 to andy.lin@gsiconsultants.com.hk or contact **Andy Lin** at +852 3755 5411. All applications will be treated with strict confidentiality. Only short-listed candidates will be notified.