

Vice President, Compliance - Asset Management

- **Top tier Asset Management Corporate**
- **Influential Position**
- **Circa HK\$900,000 p.a.**

Our client is a European Asset Management with over 25 years' experience in Asia. Their businesses include Fund management, fixed income, equities and other investment-related professional services. They are now seeking high calibre professional to join their organization.

The incumbent will report to the Executive Director.

Responsibilities:

- Lead the department to ensure the operations of business units in adherent to relevant regulatory laws including but not limited to licensing matters, compliance testing & review
- Develop a proactive, integrated compliance approach, interacting with other regions
- Maintain, update and report market information and practices on compliance-related issues and monitor closely and providing compliance advice on company products
- Identify potential compliance violations and provide solutions in order to minimize the consequences
- Perform Know your client / anti-Money Laundering (KYC/AML) due diligence and surveillance
- Identification, evaluation and resolution of compliance risk as well as implementation of new policies

Requirements:

- Degree holder or above with major in business-related disciplines, with legal or audit background a definite advantage
- Minimum 10 years relevant compliance experience in Financial Industry, of which 5 years at supervisory level in compliance function
- Familiar with business operations of Asset Management or Wealth Management
- Well-versed with rules and regulations of SFC, HKEX & MPFA
- Strong leadership skills, energetic and self-motivated
- High proficient in both written & spoken English, Chinese & Putonghua

To apply, please forward your resume to gody.lui@gsiconsultants.com.hk or contact **Gody Lui** at +852 3755 5488. All applications will be treated with strict confidentiality.

Only short-listed candidates will be notified.