

Vice President, Compliance

- **Leading Financial Services Group**
- **Dynamic and Compliance Focus Environment**
- **Highly Competitive Package**

Our client is a leading financial services group in Asia with strong foothold in the Greater China region. They are famed for their professional service standards and work processes. With their aggressive growth plan, they are now looking for a high-caliber candidate to join their Compliance team in Hong Kong.

The incumbent will report to Head of Compliance.

Responsibilities:

- Provide effective compliance advice to, including interpretation and recommendation on compliance regulations and policies, review the new product and the impact of new / amended regulations
- Conduct compliance risk assessment; develop and execute the compliance review program
- Act as the regulatory liaison for licensing, examination, outsourcing and other regulatory issues and enquiries
- Conduct compliance training; review and update internal compliance policies and procedures to meet the best regulatory and industry standards
- Collaborates and provides advice and support to Asia/Pacific business unit management relating to regulatory aspects of expansion into new countries.
- Interfaces with the Regulatory Affairs, Legal and Audit Departments regularly; with all other departments as needed.

Requirements:

- Degree holder in Law or related discipline
- Minimum 12 years experience with prior working experience with HKMA preferred
- Sound knowledge of banking laws and regulations as well as banking business and operations
- A team player with strong customer orientation, high level of integrity and sound judgment.
- Flexibility to travel regionally when needed
- Proficient in both spoken and written English and Chinese (Cantonese and Putonghua)

Candidates with less experience will be considered as **Assistant Vice President**.

To apply, please forward your resume quoting job reference no. GSI9988 to andy.lin@gsiconsultants.com.hk or contact **Andy Lin** at +852 3755 5400. All applications will be treated with strict confidentiality. Only short-listed candidates will be notified.